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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/813,357

Filing Date March 31, 2004

First Named Inventor DONALD A. ZICK

Art Unit 2131

Examiner Name

Attorney Docket Number 14066.0004

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Steptoe & Johnson LLP		
Signature			
Printed name	Stuart T. F. Huang		
Date	November 2, 2004	Reg. No.	34,184

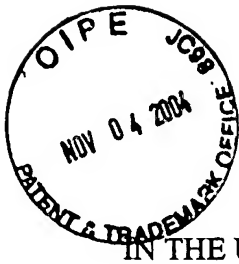
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): DONALD A. ZICK

Confirmation No. 5014

Application No.: 10/813,357

Group Art Unit: 2131

Filed: March 31, 2004

Examiner: Unknown

Title: ASYNCHRONOUS ENHANCED  
SHARED SECRET PROVISIONING  
PROTOCOL

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
220 20th Street South  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

The undersigned hereby submits the attached form PTO-1449. Copies of the documents listed on the attached PTO-1449 are also being submitted herewith.

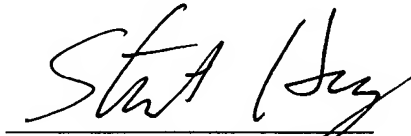
It is respectfully requested that these documents be expressly considered during the prosecution of this application and made of record herein and among the "References Cited" on any patent to issue therefrom. Consideration of the foregoing and enclosures, plus the return of the enclosed form PTO-1449 with the Examiner's initials in the left-hand column (per MPEP § 609), is earnestly solicited.

This Information Disclosure Statement is intended to be in full compliance with the Rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable applicant to comply fully.

In submitting this Information Disclosure Statement, Applicants do not waive the right to challenge the prior art status of any of the documents cited therein.

It is Applicants' belief that no fee for filing this Information Disclosure Statement (IDS) is necessary as it is being filed prior to the first Office Action in accordance with Rule 97(b)(3). If it is determined, however, that a fee for filing this IDS is required, the Commissioner is hereby authorized to charge any required fees (or credit any overpayment) to Deposit Account No. 19-4293 , for which purpose a duplicate of this communication is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Stuart Huang", written over a horizontal line.

Date: November 2, 2004

Stuart T. F. Huang  
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Date Mailed: 11/2/2004

Sheet 1 of 1

FORM 1449 (S&amp;J Version)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Docket No.: 14066.0004

Applicant: DONALD A. ZICK

Application No.: 10/813,357

Filing Date: March 31, 2004

Examiner:

Group Art Unit: 2131

## U.S. PATENT DOCUMENTS

Examiner's Initials*	Document No.	Date MM/YYYY	Inventor	Class	Subclass	Filing Date If Appropriate
	5,625,888	04/1997	Ruther et al			

## FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Document No.	Date MM/YYYY	Country	Class	Subclass	Translation	
						Yes	No
	0254812	04/1987	EP				
	1117271	11/2000	EP				
	WO 00/72499	05/2000	WO				

## OTHER DOCUMENTS

Examiner's Initials*	Include author, title of article, title of item (book, journal, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	XP-002296898, "Shared Secret Provisioning Protocol," by R. Moskowitz, ICSA Labs, January 2003, pp 1-6.

Examiner's  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.